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Ann-Ellice Parker

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: VEROSUB et al.

Docket No.: AOL0113

Serial No.10/734,991

Art Unit: 3621

Filed: 12/11/2003

Examiner: Unknown

Title: DIGITAL CONTENT STORE SYSTEM

Sept. 7, 2004

TRANSMITTAL FORM

Commissioner of Patents Mail Stop IDS P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The following documents are being transmitted:

- IDS Transmittal (1 sheet);
- Information Disclosure Statement, (2 pgs) 1449 form (3 pgs)
- Cited References
- Return Postcard

Applicant believes that there is no fee due with this submission. However, the Commissioner is authorized to charge any additional fees that may be due and credit any overpayments to Deposit Account No. 07-1445 (Order No. SCHO0159). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Michael A. Glenn Reg. No. 30,176

Customer No. 22862



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Verosub et al.

Verosub et al. Docket No.: AOL0113

Serial No. : 10/734, 991

Art Unit: 3621

Filed: December 11, 2003

Examiner: Unknown

Title: DIGITAL CONTENT STORE SYSTEM

Assistant Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sept. 1, 2004

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

(x) under 37 CFR 1.97(b), or (within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
under 37 CFR 1.97(c) together with either a:

() Certification under 37 CFR 1.97(e), or
() a \$220.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

() under 37 CFR 1.97(d) together with a:

() Certification under 37 CFR 1.97(e), and
() a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and
() a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)
() (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

- (X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No.: AOL0113). A copy of this sheet is enclosed for accounting purposes.
- (X) Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this

application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,

Michael Glenn Attorney For Applicant Reg. No. 30,176

Customer No. 22862

PTO/SB/08A (02-03) Approved for use through 04/30/2003. OMB 0651-0031

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Substitute for form 1449/PTO

TO MADENT

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Coi		
Application Number	10/734,991	
Filing Date	12/11/2003	
First Named Inventor	Verosub, et al.	
Art Unit	3621	
Examiner Name	Unknown	
Attorney Docket Number	AOL0113	

	U. S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear			
	Α	^{US-} A 5,325,238	6/28/94	Stebbings et al.				
	В	^{US-} 5,517,672	5/14/96	Reussner et al.				
	С	^{US-} 5, 802,502	9/1/98	Gell, et al.	,			
	D	^{US-} 5,892,900	6/6/96	Ginter, et al.				
	Е	^{US-} 5, 910, 987	6/8/99	Ginter, et al.				
	F	^{US-} 5, 915, 019	6/22/99	Ginter, et al.				
	G	^{US-} 5, 917, 912	6/29/99	Ginter, et al.				
	Н	^{US-} 5,920,861	7/6/99	Hall, et al.				
	T	^{US-} 5, 943,422	8/24/99	Van Wie, et al.				
	J	^{US-} 5, 949,876	9/7/99	Ginter, et al.				
	K	^{US-} 5, 982,891	11/9/99	Ginter, et al.				
	L	^{US-} 6, 112, 181	8/29/00	Shear et al.				
	М	^{US-} 6,138,119	10/24/00	Hall, et al.				
	N	^{US-} 6,157, 721	12/5/00	Shear, et al.				
	0	^{US-} 6, 163, 683	12/19/00	Dunn, et al.				
	Р	^{US-} 6, 185,683	2/6/01	Ginter, et al.				
	Q	^{US-} 6, 237,786	5/29/01	Ginter, et al.				
	R	^{US-} 6,240,185	5/29/01	Van Wie, et al.				
	S	^{US-} 6,253,193	6/26/01	Ginter, et al.				

FOREIGN PATENT DOCUMENTS							
Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
	Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY	·	Or Relevant Figures Appear	Τ°		
Т	WO 01/10496 A2	2/15/01	Rubin, et al				
U	TW 497055	8/1/02	Tsais				
٧	JP2002318587	10/31/02	Akashit				
W	JP2002108395	4/10/02	Kobe Steel Ltd.				
Х	JP 2003069768	3/7/03	Ricoh KK		E		
	V V	Cite No.1 Country Code3 Number 1 Kind Code5 (# known) T WO 01/10496 A2 U TW 497055 V JP2002318587 W JP2002108395	Cite No.¹ Foreign Patent Document Publication Date MM-DD-YYYY T WO 01/10496 A2 2/15/01 U TW 497055 8/1/02 V JP2002318587 10/31/02 W JP2002108395 4/10/02	Cite No.¹ Foreign Patent Document Publication Date MM-DD-YYYY Name of Patentee or Applicant of Cited Document T WO 01/10496 A2 2/15/01 Rubin, et al U TW 497055 8/1/02 Tsais V JP2002318587 10/31/02 Akashit W JP2002108395 4/10/02 Kobe Steel Ltd.	Cite No.¹ Foreign Patent Document Publication Date MM-DD-YYYY Name of Patentee or Applicant of Cited Document Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear T WO 01/10496 A2 2/15/01 Rubin, et al U TW 497055 8/1/02 Tsais V JP2002318587 10/31/02 Akashit W JP2002108395 4/10/02 Kobe Steel Ltd.		

Examiner	Date		
Signature	Cons	sidered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number 10/734,991 Filing Date 12/11/2003 INFORMATION DISCLOSURE First Named Inventor Verosub, et al. STATEMENT BY APPLICANT Art Unit 3621 (Use as many sheets as necessary) **Examiner Name** Unknown Attorney Docket Number AOL0113 Sheet 2

Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Y	^{US-} 6,262,569	7/17/01	Carr, et al.	
	Z	^{US-} 6, 363,488	3/26/02	Ginter, et al.	
	A1	^{US-} 6,389,402	5/14/02	Ginter, et al.	
	B1	^{US-} 6,427,140	7/30/02	Ginter, et al.	
	C1	^{US-} 2002/0108395	8/15/02	Fujita, et al.	
	D1	^{US-} 6, 449,367	9/10/02	Van Wie, et al.	
	E1	^{US-} 2002/0152876	10/24/02	Hughes, et al.	
	F1	us- 2002/0152878	10/24/02	Akashi	
	G1	^{US-} 2002/0198846	12/26/02	Lao	
	H1	^{US-} 2003/0014436 A1	1/16/03	Spencer, et al.	
	11	^{US-} 2003/0069768A1	4/10/03	Hoffman, et al.	
	J1	^{US-} 6,618,484	9/9/03	Van Wie, et al.	
	K1	^{US-} 6, 658, 568	12/2/03	Ginter, et al.	
_	L1	^{US-} 6, 668, 325	12/23/03	Collberg et al.	
		US-			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*		Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵		
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Examiner	Date	te		
Signature	Cons	nsidered	•	

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Substitu	ite 10/ 10/1/1 1449/F1O			Application Number	10/734,991		
INF	ORMATION	DIS	CLOSURE	Filing Date	12/11/2003		
STATEMENT BY APPLICANT				First Named Inventor	Verosub et al.		
				Art Unit	3621		
	(Use as many she	ets as n	ecessary)	Examiner Name	Unknown		
Sheet	3	of	3	Attorney Docket Number	AOL0113		

Evaminer	Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of							
Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²					
	M1	XU, C., FENG, D. "Web based Protection and Secure Distribution for Digital Music", Proceedings, International Conference on Internet and Multimedia Systems and Applications, pg. 102-107, Hawaii, USA, 13-16 August 2001						
	N1	Apple's iTune Music Store - http://www.apple.com/music/store						
	01	Conference Paper: IP Data Over Satelite to Cable Headends and a New Operation Model with Digital Store and Forward Multi-Media Systems						

Examiner	Date	-
Signature	Considered	

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